(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property **Organization**

International Bureau





(43) International Publication Date 14 October 2004 (14.10.2004)

PCT

(10) International Publication Number WO 2004/088858 A3

(51) International Patent Classification⁷: G01R 21/08, G06F 11/00, G08C 15/00, H04J 1/16, 3/14, H04L 1/00, 12/26

60/515,751 60/527,856

30 October 2003 (30.10.2003) 8 December 2003 (08.12.2003)

US US

(21) International Application Number:

PCT/US2004/009645

(22) International Filing Date: 29 March 2004 (29.03.2004)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/458,886	29 March 2003 (29.03.2003)	US
60/473,842	28 May 2003 (28.05.2003)	US
60/510,864	14 October 2003 (14.10.2003)	US
60/515,750	30 October 2003 (30.10.2003)	US

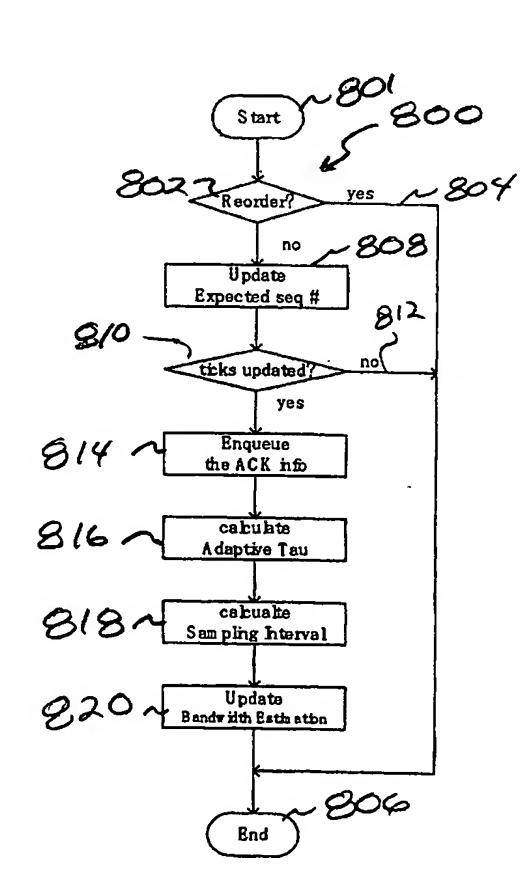
(71) Applicant (for all designated States except US): RE-OF UNIVERSITY OF CALIFORNIA **GENTS** [US/US]; Office of Technology Transfer-Office of the Presid, ent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): GERLA, Mario [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US). SANADIDI, Yahya, M. [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US). PAU, Giovanni [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US).

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR IMPROVED DATA TRANSMISSION



(57) Abstract: Methods and apparatuses for improved data transmission control protocols. Acknowledgment streams are used by a sender process to generate various estimates of eligible transmission rates (820) that are fair to other processes competing for bandwidth across a common network. In addition, the acknowledgment streams are used in conjunction with various other standard transmission control protocol metrics to adapt a filter for use on the rate estimates. Additional improvements in throughput may be had by measuring the overall capacity of the computer network using packet pair dispersion measurements and adaptive network probing. In addition, the methods may be adapted for both packet transmission and video streaming applications.

WO 2004/088858



WANG, Ren [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US). BALK, Alex [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US). MAGGIORINI, Dario [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US). YAMADA, Kenshin [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US). KAPOOR, Rohit [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US). CHEN, Ling-Jyh [US/US]; University of California, Office of Technology Transfer, Office of the Presi, dent, 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200 (US).

- (74) Agent: CIRE, Frank, L.; Christie, Parker & Hale, LLP, Post Office Box 7068, Pasadena, CA 91109-7068 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,

CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- (88) Date of publication of the international search report: 24 February 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/09645

	SSIFICATION OF SUBJECT MATTER						
IPC(7) : G01R 21/08; G06F 11/00; G08C 15/00; H04J 1/16, 3/14; H04L 1/00, 12/26							
US CL: 370/236, 241 According to International Patent Classification (IPC) or to both national classification and IPC							
B. FIELDS SEARCHED							
Minimum documentation searched (classification system followed by classification symbols)							
	U.S.: 370/236, 241						
				·			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched							
searched to the opening and the fields searched							
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)							
Please See C	ontinuation Sheet	umb or wall	omo ana, vinoro praemeante, s	carch terms used)			
			•				
C. DOC	UMENTS CONSIDERED TO BE RELEVANT						
Category *	Citation of document, with indication, where	appropriate,	of the relevant passages	Relevant to claim No.			
X	US 2002/0071388 A1 (BERGSSON et al) 13 June	1-3, 5-13 and 15-20					
 Ү	4.						
ľ				4 and 14			
Y	US 2002/0122385 A1 (BANERJEE) 05 September	2002 (05.0	9.2002), fig. 2.	4 and 14			
			111-), -8: -1				
				1			
	•						
ĺ							
Further	documents are listed in the continuation of Box C.		See patent family annex.				
	ecial categories of cited documents:	4Tn	later document published after the inte	emotional filing data on			
Annual Control	defining the general state of the art which is not considered to		priority date and not in conflict with t	he application but cited to			
be of parti	icular relevance		understand the principle or theory und				
"B" earlier app	plication or patent published on or after the international filing	"X"	document of p uticular relevance; the considered novel or cannot be considered.	claimed invention cannot be red to involve an inventive			
date			step when the document is taken alone				
"L" document	which may throw doubts on priority claim(s) or which is cited h the publication date of another citation or other appointments	ayn i	document of particular relevance; the	claimed invention cannot be			
(as specifi	h the publication date of another citation or other special reason ed)		considered to involve an inventive ste combined with one or more other such	documents, such			
"O" document	referring to an oral disclosure, use, exhibition or other means		combination being obvious to a person				
	published prior to the international filing date but later than the	"SE"	document member of the same patent i	family			
priority_da	tual completion of the international search	Deta - C	:11:				
		Date of m	ailing of the international search	en report			
	2004 (04.09.2004)		7 OCT 2004				
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US Authorized officer							
Commissioner for Patents			Kevin C. Harper / / / / / / / / / / / / / / / / / / /				
P.O. Box 1450 - Alexandria, Virginia 22313-1450			Kevin C. Harper Telephone No. 703-305-4700 Telephone No. 703-305-4700				
Facsimile No. (703) 305-3230							
DOMESA							

Form PCT/ISA/210 (second sheet) (January 2004)



INTERNATIONAL SEARCH REPORT

International application No.	_
PCT/US04/09645	

Continuation of B. FIELDS SEARCHED Item 3:

EAST

search terms: TCP, rate

Form PCT/ISA/210 (extra sheet) (January 2004)